

04/20/01

1c772 U.S. PTO


UTILITY  
PATENT APPLICATION  
TRANSMITTAL

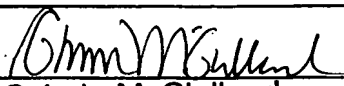
Form for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.	206216US2
First Inventor or Application Identifier	Hitoshi HATTORI et al.
Title	INFORMATION RECORDING MEDIUM AND INFORMATION PROCESSING APPARATUS
Assignee Name:	Ricoh Company, Ltd.
Assignee Address:	3-6, Nakamagome 1-chome, Ohta-ku, Tokyo 143-8555, Japan

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04/20/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<b>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing)	<b>ACCOMPANYING APPLICATION PARTS</b>
<b>2. <input checked="" type="checkbox"/> Specification</b> Total Sheets <b>149</b>	<b>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</b>
<b>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)</b> Total Sheets <b>33 Formals</b>	<b>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</b>
<b>4. <input type="checkbox"/> Oath or Declaration</b> Total Pages <b></b>	<b>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</b>
<b>a. <input type="checkbox"/> Newly executed (original or copy)</b>	<b>10. <input type="checkbox"/> English Translation Document (if applicable)</b>
<b>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))</b> (for continuation / divisional w/ box 17 completed)	<b>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</b>
<b>i. <input type="checkbox"/> DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	<b>12. <input type="checkbox"/> Preliminary Amendment</b>
<b>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b>	<b>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</b>
<b>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</b>	<b>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</b>
<b>a. <input type="checkbox"/> Computer Readable Form (CRF)</b>	<b>15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</b>
<b>b. Specification or Sequence Listing on:</b>	<b>16. <input checked="" type="checkbox"/> Other: Notice of Priority, List of Inventors' Names and Addresses</b>
<b>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</b>	
<b>ii. <input type="checkbox"/> Paper</b>	
<b>c. <input type="checkbox"/> Statements verifying identity of above copies</b>	
<b>17. <input type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</b>	
<b><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:</b>	
<b>Prior application information: Examiner: Group Art Unit:</b>	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	
<b>18. Amend the specification by inserting before the first line the sentence:</b>	
<b><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on</b>	
<b><input type="checkbox"/> Which was published in English</b>	
<b><input type="checkbox"/> Which was not published in English</b>	
<b><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</b>	
<b>19. CORRESPONDENCE ADDRESS</b>	
	
<b>22850</b>	
(703) 413-3000	
FACSIMILE: (703) 413-2220	

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:		Date:	4/20/01
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

Docket No. 206216US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hitoshi HATTORI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: INFORMATION RECORDING MEDIUM AND INFORMATION PROCESSING APPARATUS

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	67 - 20 =	47	× \$18 =	\$846.00
INDEPENDENT CLAIMS	11 - 3 =	8	× \$80 =	\$640.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$2,326.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$2,326.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$2,326.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date:

4/20/01

Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland  
Registration Number 21,124



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(OSMMN 10/00)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hitoshi HATTORI, et al.

FILING DATE: Herewith

FOR: INFORMATION RECORDING MEDIUM AND INFORMATION PROCESSING APPARATUS

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Hitoshi HATTORI	Yokosuka-shi, JAPAN
Toshiyuki FURUTA	Kawasaki-shi, JAPAN
Tomohiko BEPPU	Kawasaki-shi, JAPAN

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland  
Registration Number 21,124



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Tel. (703) 413-3000  
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(OSMMN 11/98)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hitoshi HATTORI, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: INFORMATION RECORDING MEDIUM AND INFORMATION PROCESSING APPARATUS

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:


<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	2000-145696	May 17, 2000

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☒ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland  
Registration Number 21,124



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